



TOM LI

T: (310) 789-1228
F: (310) 789-1228
tli@troygould.com

BIOGRAPHY

Tom Li is a Member in TroyGould's Corporate Department. His practice focuses on providing corporate and securities counsel to his clients, which range from newly formed startups to public companies in the technology, media, and life science industries. He represents emerging companies and investors through all stages of a company's growth including formation, equity and debt financings, equity compensation, joint ventures, mergers and acquisitions, and as outside general counsel. Tom regularly advises companies on their commercial agreements including service, supply, and licensing agreements. Tom also represents public companies in securities law matters including '33 Act, '34 Act, and Nasdaq rules and regulations.

During law school, Tom served as a judicial extern to the Hon. Richard M. Neiter of the U.S. Bankruptcy Court for the Central District of California.

Languages

Tom is conversationally fluent in Mandarin Chinese.

REPRESENTATIVE MATTERS

- Represented Rabbit Inc. in its \$10 million Series A financing by Khosla Ventures.
- Represented Stellaromics, Inc. in its \$20 million Series A financing.
- Represented Rael, Inc. in its \$35 million Series B financing.
- Represented 3E Bioventures Capital in its equity financings of portfolio companies.
- Represented TSVC in its equity financings of portfolio companies.
- Represented RMA Companies in its acquisition by OceanSound Partners.
- Represented WCCT Global in its acquisition by Altasciences.
- Represented Apex Digital in the sale of its Brookstone business to Hudson Group.
- Represented KindredBio in the sale of its manufacturing equipment and facility to Vaxart.
- Represented KindredBio in its shelf registration statement and \$28 million at-the-market offering.

BACKGROUND

EDUCATION

University of California, Irvine, (B.A., Political Science & Sociology, cum laude, 2011)
UCLA School of Law, (J.D., Business Specialization, 2014)